



# Ethical Authorship Practices for Scholarly Work

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Ask me questions! [Sandi.Cleveland@oregonstate.edu](mailto:Sandi.Cleveland@oregonstate.edu)



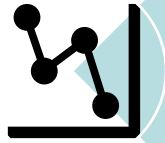
## **Expectations of Authors in Scholarly Work**

- Transparency
- Provide a complete account
- Give appropriate credit for previous work and ideas
- Maintain objectivity or rigor
- Accept responsibility

# A named author should make a “significant contribution” to the writing (Steneck, 2017)



Concept and design



Data collection and analysis



Writing/composition



Final review and approval

## More may be required . . .

The **International Committee of Medical Journal Editors** has more specific requirements:

- Substantial contributions to the conception or design of the work; or the acquisition, analysis, or interpretation of data for the work; AND
- Drafting the work or revising it critically for important intellectual content; AND
- Final approval of the version to be published; AND
- Agreement to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

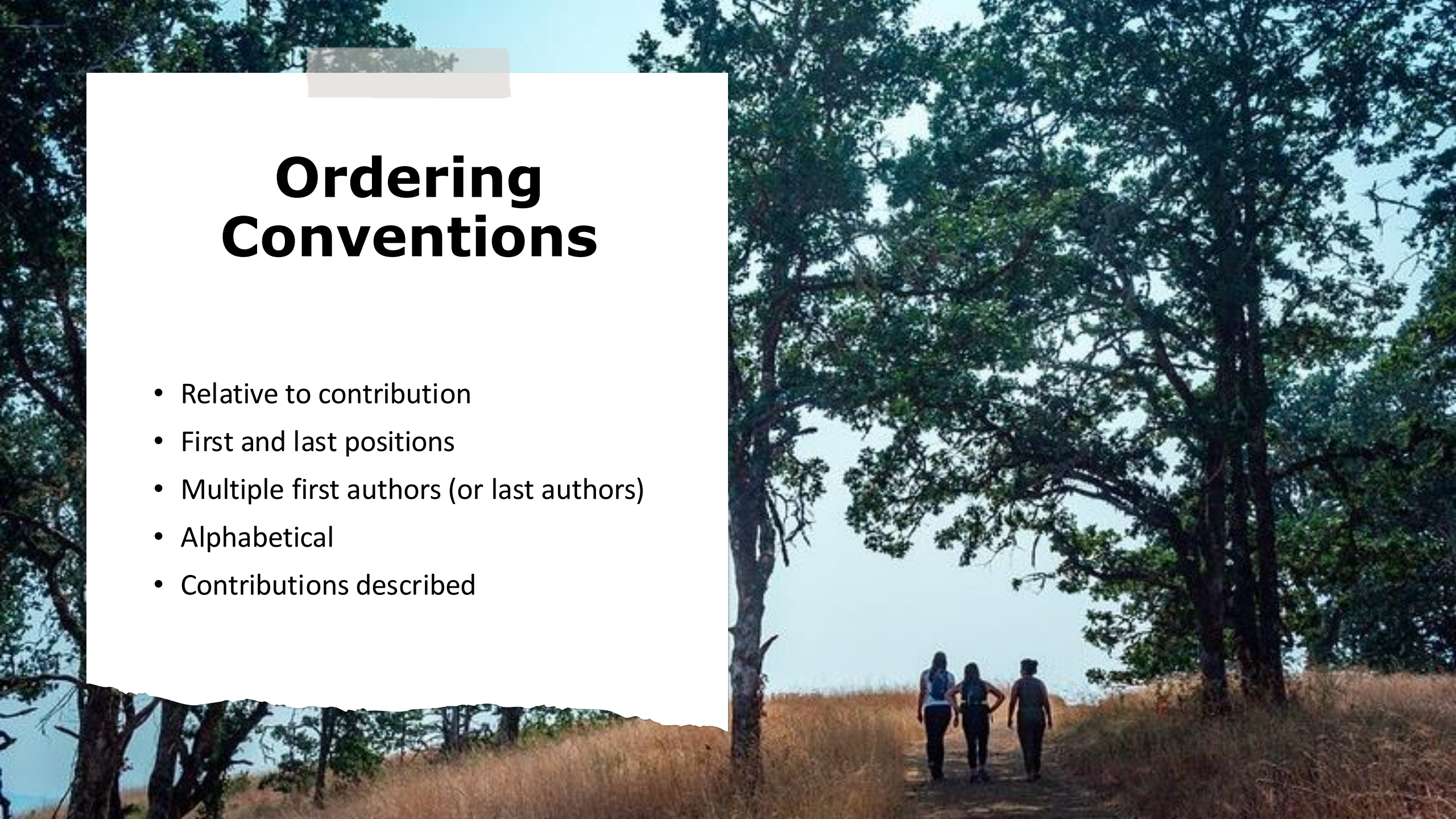
# Unethical Practices

- Honorary, gift, guest authorship
- Ghost authorship
- Coercive authorship
- Incremental publication
- Simultaneous or duplicate publication



# Ordering Conventions

- Relative to contribution
- First and last positions
- Multiple first authors (or last authors)
- Alphabetical
- Contributions described



## Activities Associated with Research Manuscript/Poster: Points and Method of Assignment

This instrument should be completed collaboratively, with discussion including all contributors. Scores are estimates and are negotiable as the project progresses. Some of the items may not be appropriate for studies analyzing existing data and authorship “cut-off” scores may have to be adjusted. Please note that these scores should be used as guidelines and that the final order of authors might not reflect the rank of the contributors’ scores.

Activity Category	Total Points	Method of Assigning Points*	Contributor Score (the total of these columns should equal the <i>Total Points</i> column)				
			Initials				
Conceptualizing and refining research ideas	50	Q					
Literature search	20	T					
Creating research design	30	Q					
Instrument selection	10	Q					
Instrument construction/questionnaire design	40	Q/T					
Selection of statistical tests/analyses	10	Q					
Performing statistical analyses and computations	10	T					
Collection and preparation of data (gathering, scoring/coding, entering)	40	Q/T					
Interpretation of statistical analyses	10	Q					
Drafting manuscripts/posters							
First draft	50	T					
Second draft	30	T					
Redraft of a page (on later drafts)	2	T					
Editing manuscript	10	T					
Total Score**							

\*Q = points assigned on qualitative criteria; T = points assigned based on proportion of total time expended on the task or on proportion of total pages drafted or revised; Q/T = points assigned partly on the basis of time spent on the tasks and partly on qualitative criteria.

\*\*50 points minimum to be an author

This table is based on information described in the following article:

Winston, Jr., R. B. (1985). A suggested procedure for determining order of authorship in research publications. *Journal of Counseling and Development*, 63, 515-518.

# Negotiating Authorship Order

## Discuss at the Beginning

What will the order be?

What will individual contributions be?

What expectations do we have of each other?

## Revisit if needed

Contributions and commitments can change over time.

Keep a record of decisions and actions, communicate those with the author group.

## Handling Disagreements

Before publication, work it out within the team first. Seek mediation if necessary.



# Community Engaged Authorship Considerations

- At every stage, doing “with” rather than “to”
- Be inclusive, remove power dynamics, de-mystify the writing and peer review process
- Keep eyes on “why” – what’s in it for community partners
- Be prepared to take time
- Be trustworthy

## Examples:

[Healthier Oregon Healthcare System Navigation Grant Program Evaluation](#) (Sandi Phibbs, Oralia Mendez, Araceli Mendez)

[Evaluation of Primeros Auxilios de Salud Mental with Juntos en Colaboración](#) (Ivan Estrada, Dusti Linnell, Oralia Mendez, Araceli Mendez, or Sandi Phibbs)



**THANK YOU!**

Please reach out if I can help:  
[Sandi.Cleveland@oregonstate.edu](mailto:Sandi.Cleveland@oregonstate.edu)